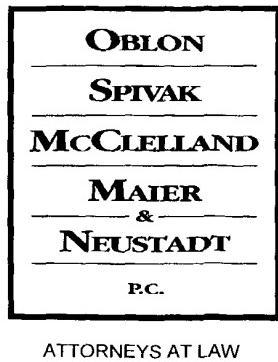


U.S. PATENT AND TRADEMARK OFFICE



ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A  
NOTICE TO FILE MISSING  
PARTS OF AN APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventor: Sadayoshi KANEMARU, et al.  
Serial No: 10/015,627  
Filed: December 17, 2001  
For: SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR  
LASER MODULE, FABRICATION METHOD THEREOF AND  
AMPLIFIER FOR OPTICAL FIBER

SIR:

Attached hereto for filing are the following papers:

Notice to File Missing Parts, Filing of Declaration/Declaration, Filing of Certified English Translation,  
Certification of English Translation, Certified English Translation (65 pages), Letter to Official Draftsman,  
Substitute Formal Drawings (18 sheets), Request for Priority, Priority Documents (2)

Our check in the amount of \$0.00 is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Docket No. 217494US8



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 12/01)

*Joseph A. Scafetta Jr.*  
Bradley D. Lytle  
Registration No. 40,073

**Joseph A. Scafetta, Jr.**  
**Registration No. 26,803**



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/015,627	12/17/2001	Sadayoshi Kanemaru	217494US8

APR 17 2002  
O I P E J O S  
T E N T & T R A D E M A R K O F F I C E  
22850  
OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VA 22202

**CONFIRMATION NO. 7547**  
**FORMALITIES LETTER**



\*OC000000007542107\*

Date Mailed: 02/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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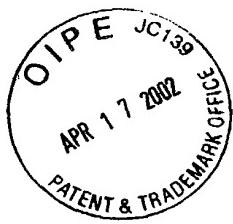
*A copy of this notice **MUST** be returned with the reply.*

*Cecilia M.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



M.P.



ATTORNEYS AT LAW

ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A  
NOTICE TO FILE MISSING  
PARTS OF AN APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventor: Sadayoshi KANEMARU, et al.  
Serial No: 10/015,627  
Filed: December 17, 2001  
For: SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR  
LASER MODULE, FABRICATION METHOD THEREOF AND  
AMPLIFIER FOR OPTICAL FIBER

SIR:

Attached hereto for filing are the following papers:

Notice to File Missing Parts, Filing of Declaration/Declaration, Filing of Certified English Translation,  
Certification of English Translation, Certified English Translation (65 pages), Letter to Official Draftsman,  
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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Docket No. 217494US8



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 12/01)

*Joseph A. Scafetta Jr.*  
Bradley D. Lytle  
Registration No. 40,073

**Joseph A. Scafetta, Jr.**  
**Registration No. 26,803**

Docket No. 217494US8

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

IN RE APPLICATION OF:

Sadayoshi KANEMARU, et al.

SERIAL NO: 10/015,627

FILED: 12/17/01

FOR: SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR...

**LETTER TO THE OFFICIAL DRAFTSMAN**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

SIR:

In response to the Notice to File Missing Parts of Nonprovisional Application, attached hereto please find 18 sheets of Substitute Formal Drawings. It is requested that the enclosed 18 sheets of Substitute Formal Drawings be entered to replace the drawings previously filed in this application.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



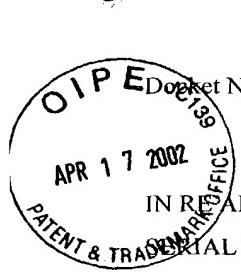
Bradley D. Lytle  
Registration No: 40,073  
Attorney of Record

**Joseph A. Scafetta, Jr.**  
**Registration No. 26,803**



**22850**

BDL:dnf  
(703) 413-3000  
Fax: (703) 412-2220



Docket No.  
217494US8

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Sadayoshi KANEMARU, et al.

APPLICATION NUMBER: 10/015,627

ATTN: APPLICATION BRANCH

FILING DATE: December 17, 2001

SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR LASER MODULE, FABRICATION  
METHOD THEREOF AND AMPLIFIER FOR OPTICAL FIBER

**FILING OF DECLARATION UNDER 37 CFR 1.53(f)**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

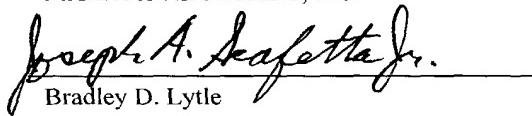
Responsive to the notification dated February 27, 2002, and in accordance with the provisions of 37 CFR 1.53(f),  
Applicants submit herewith a Rule 63 Declaration.

The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable  
action is earnestly solicited.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
\_\_\_\_\_  
Bradley D. Lytle

Attorney of Record

Registration No. 40,073

Joseph A. Scafetta, Jr.  
Registration No. 26,803



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/98)

Docket No: 217494US8

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

Sadayoshi KANEMARU, et al.

SERIAL NO: 10/015,627

FILED: December 17, 2001

FOR: SEMICONDUCTOR LASER ELEMENT,  
SEMICONDUCTOR LASER MODULE,  
FABRICATION METHOD THEREOF AND  
AMPLIFIER FOR OPTICAL FIBER



: ATTIN: BOX MISSING PART

:

:

**FILING OF CERTIFIED ENGLISH TRANSLATION UNDER 37 CFR 1.52(d)**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

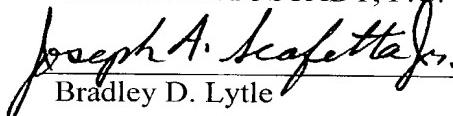
Responsive to the Notice to File Missing Parts of Application (Form PTO-1533) dated February 27, 2002, Applicants submit herewith a certified English translation of the application, as filed, in accordance with the provisions of 37 C.F.R. §1.52(d).

The required fee was paid at the time of filing of the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
\_\_\_\_\_  
Bradley D. Lytle

Attorney of Record

Registration No. 40,073

**Joseph A. Scafetta, Jr.  
Registration No. 26,803**



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220

### VERIFICATION OF TRANSLATION

I, Hiroaki Sakai, of c/o SAKAI International Patent Office, 2-6, Kasumigaseki 3-chome, Chiyoda-ku, Tokyo 100-0013 Japan, hereby declare that I am a translator of the document attached, and attached document is a true and correct translation made by me to the best of my knowledge and belief.

U. S. Patent Application No. 10/015,627, filed on December 17, 2001.

Signature of Translator:

  
Hiroaki Sakai

Date FEB. 12. 2002